NEUP CINR R&D 23-29622

Development of the Technical Bases to Support Flexible Siting of Microreactors based on Right-Sized Emergency Planning Zones (EPZ)

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Project Overview - Objectives

- The objective of the proposed project is to provide the technical basis to support the application of a right-sized Emergency Planning Zone (EPZ), to aid in the deployment of a microreactor research, development, and deployment (RD&D) platform at the Penn State University Park campus as proposed by the Post-Industrial Midwest and Appalachia (PIMA) Nuclear Alliance (NA).
- The proposed study will serve as a template to provide flexible siting in support of future microreactor deployments that may be placed closer to demand centers and industrial facilities, thereby making them more economically competitive.
- This study will support the Level III PRA assessments by developing methods and models to improve the characterization of post-accident near-field atmospheric dispersion of radionuclide particles for a generic microreactor.
- Furthermore, by describing the site-specific risks, **PIMA-NA FRONTIER** can adopt a more rapid **licensing approach**, which allows the site license application to proceed separately from the design certification application of the microreactor.



Project Team and Contributors

- PSU
 - PI: Saya Lee (NUCE)
 - Co-PI: Gretta Kellogg (AIMI) & Two contributors for VR/XR and One contributor for Economic Impact
 - Two Contributors from AIMI and Business supporting Community Engagement
 - Four Contributors from the Office of Physical Plant (**OPP**): Two Licensing and Two Site Selection
 - Two Contributors from the Office of Government and Community Relations (OGCR)
- Pitt-Tech
 - Co-PI: Sola Talabi EPZ, Stakeholders Engagement, and Licensing
- Westinghouse (WEC)
 - Michael Valore & Six contributors
 - Two for Radionuclides Inventory and Mechanical Source Terms
 - Two for Licensing
 - One for Business Model / Community Outreach
 - One for Communication



PIMA NA: Post Industrial Midwest and Appalachia Nuclear Alliance

- **Objective:** Accelerate the development and deployment of advanced nuclear reactors and their applications in various industries to support economic development and American energy leadership
- Approach: Establish a nuclear reactor RD&D platform at Penn State University
- Support end-users and nuclear reactor developers
 - Test-bed for nuclear reactor applications RD&D
 - Investigate enabling technologies (digital twin, advanced manufacturing)
 - Establish an effective pathway to regulatory approval and Community Engagement
- Identify funding opportunities with strategic partners



The Westinghouse and Penn State University relationship

There is long history of partnership between Penn State and Westinghouse.



In May 2022, Penn State and Westinghouse announced that they will partner on research and development efforts focused on exploring and applying nuclear engineering and science innovations to societal needs. Discussions are ongoing about siting the eVinci at Penn State.





FRONTIER: FRONTIER – Forging a Renaissance of Nuclear Through Innovation Entrepreneurship and Research.

- Aim for first deployment of a microreactor on a university campus.
- Located at the Penn State University Park campus.
- 15MW Westinghouse eVinci microreactor will be used for an expected period of 8-12 years before refueling. Potential lifecycle of 64+ years.
- PSU Scope: Site and Facility Design, R&D Program, Acquisition, Construction, Ownership, Operations & Maintenance.
- Active Community engagement
- Website: (<u>https://frontier.psu.edu/</u>)
- NRC docket will open in Q1 in CY2025



FRONTIER is a Research Platform to Advance Microreactor Applications



- Complementary research, education, & outreach focus to RSEC
- Focus is on demonstration Microreactor applications and developing enabling technologies and workforce development





PENN STATE FRONTIER DEPLOYMENT CORE TEAM





EPZ

- Emergency planning zones (EPZs) are essential safety factors for nuclear facilities, where protective action plans, such as evacuation and sheltering
- NRC separates EPZ into Plume (PEPZ) and Ingestion (IEPZ)
- NUREG-0396 established the technical basis for the 10-mile (~16 km) PEPZ and 50-mile (~80km) IEPZ





Emergency Planning Regulatory Activity



EPZ sizing based on reactor thermal power level

Authorized power level	Acceptable EPZ size	
≤2 MW	Operations boundary	
>2 MW and < 10 MW	<u>100 m</u>	
>10 MW and \leq 20 MW	400 m	
>20 MW and \leq 50 MW	800 m	
>50 MW	Will be determined on a case-by-case basis	

A class 104 license for Research Reactors [ANSI/ANS 15.16]

Emergency zones (plume exposure pathway)	Whole body dose criteria	Dose evaluation period
U.S.	10-50 mSv	4 days
IAEA	100 mSv	7 days
Korea	10 mSv	2 days
Sweden	20 mSv	7 days



Microreactor Siting





Near-Field Atmospheric Dispersion

- Near-Filed Atmospheric Transport and Dispersion (ATD)
 - Field measurements,
 - Wind tunnel measurements,
 - Particle tracking based on computational fluid dynamics (CFD), and
 - Empirical models such as Gaussian Plume Models



Gaussian Plume Model

$$C(x, y, z, H) = \frac{Q}{2 \pi \sigma_y \sigma_z u} \exp\left[-\frac{1}{2} \left(\frac{y}{\sigma_y}\right)^2\right] \left\{ \exp\left[-\frac{1}{2} \left(\frac{z-H}{\sigma_z}\right)^2\right] + \exp\left[-\frac{1}{2} \left(\frac{z+H}{\sigma_z}\right)^2\right] \right\} \exp\left[-\frac{\lambda x}{u}\right] DF(x)$$

Treats **crosswind** (σ_y) and **vertical** (σ_z) dispersion as a <u>Gaussian distribution</u> based on weather stability classes

Stability class	Open/Rural sites		Urban/Industrial sites	
	$\sigma_y(m)$	$\sigma_z(m)$	$\sigma_y(m)$	$\sigma_z(m)$
A	0.22x	0.20x	0.32x	$0.24x\sqrt{1+0.001x}$
	$\sqrt{1+0.0001x}$		$\sqrt{1+0.0004x}$	1
В	0.16x	0.12x		
	$\sqrt{1+0.0001x}$			
с	0.11x	0.08x	0.22x	0.20x
	$\sqrt{1+0.0001x}$	$\sqrt{1+0.0002x}$	$\sqrt{1+0.0004x}$	1
D	0.08x	0.06x	0.16x	0.14x
-	$\sqrt{1+0.0001x}$	$\sqrt{1+0.0015x}$	$\sqrt{1+0.0004x}$	$\sqrt{1+0.0003x}$
E	0.06x	0.03x	0.11x	0.08x
-	$\sqrt{1+0.0001x}$	$1 + 0.0003x^{2}$	$\sqrt{1+0.0004x}$	$\sqrt{1+0.0015x}$
F	0.04x	0.016x		
	$\sqrt{1+0.0001x}$	1 + 0.0003x		

Gaussian Plume model





Dispersion Coefficient – Model Review



Gaussian Plume Limitations

Theoretically, the model is only valid when certain basic assumptions are completely met:

- Stationary and steady state conditions; Uniform wind speed; Constant atmospheric stability
- Gaussian distribution concentration; Neglection of buoyancy effects; Constant emission height

In practical terms, the Gaussian plume model should not be applied under conditions of:

- 1) Low wind speeds
- 2) Complex terrain
- 3) Spatial and temporal changes

However, adjustments to the basic Gaussian plume formulation allow it to be applied in many situations in which it theoretically should no be applied.

After investigation, modifications to the dispersion coefficients σ_y and σ_z can be applied to improve the model for a specific application.



Modified Dispersion Coefficient – Model Review

Empirically based adjustments to the Gaussian plume formulation allow it to be applied in many situations. Nevertheless, any system based extensively on empirical adjustments has a severely limited capability to produce generalizations. Some models tried to apply building wake effects to modified dispersion coefficients Σ_y and Σ_z .

• Huber (1984):

Suggested that Σ_y and Σ_z are functions of the building <u>height</u>, <u>width</u> and receptor <u>distance</u> $\Sigma_x = 0.7 \frac{w_b}{w_b} + 0.067 (x - 3h_c)$

$$\Sigma_{z} = 0.7h_{\rm b} + 0.067 (x - 3h_{\rm b})$$
$$\Sigma_{z} = 0.7h_{\rm b} + 0.067 (x - 3h_{\rm b})$$

• Fuquay (Gifford, 1968) :

Used to be the model recommended by RG 1.145

 $\Sigma_{y}\Sigma_{z} = [\sigma_{y}^{2} + cA/\pi]^{1/2} [\sigma_{z}^{2} + cA/\pi]^{1/2}$



EPZ – Atmospheric Dispersion Code

• ARCON

ARCON 2.0 Case Information Source: Recentor Meteorology	Source-Receptor Release Mode Ground Level Vent Release	Vertical Velocity (m/s)	Stack Flow (m ^x /s) 0.0	Stack Radius (m)
 Program Defaults Reports 	Isolated Stack Source Location Direction to Source (deg) 180 180 N	Recept Distance 27.4	tor Release Height (m) 38.4	
			se sht (m)	Ares (m²)

Atmospheric Relative CONcentration in Building Wakes

Code developed for the NRC, used to calculate χ/Q in support to habitability assessment Valid for near-field distances (>10 m) range Incorporates building wake effects

• HotSpot Heath Physic



• AERMOD





Building Wake & Meandering

Tall buildings and other structures will disturb the flow of air, creating three main zones of flow:

- Displacement Zone
- Wake Zone
- Cavity Zone



Plume meander should be consider when:

Low wind speeds ≤ 6 m/s For distances ≤ 800 m and stability classes D, E, F, and G (NRC, 1983)



(Caulton, Dana R. et al., 2018)



ARCON Gaussian Plume model

$$\frac{\chi}{Q} = \frac{1}{\pi \sigma_y \sigma_z U} \exp\left[-0.5 \left(\frac{y}{\sigma_y}\right)^2\right] \exp\left[-0.5 \left(\frac{h_e - h_i}{\sigma_z}\right)^2\right]$$
$$\sum_{y} = \left(\sigma_y^2 + \Delta \sigma_{y1}^2 + \Delta \sigma_{y2}^2\right)^{1/2} \qquad \text{Sub}$$
$$\sum_{z} = \left(\sigma_z^2 + \Delta \sigma_{z1}^2 + \Delta \sigma_{z2}^2\right)^{1/2} \qquad \text{Sub}$$

Sub-script 1: Low wind speed (or wind meandering) Sub-script 2: Building wake

$$\Delta \sigma_{y1}^2 = 9.13 \times 10^5 \left[1 - \left(1 + \frac{x}{1000U} \right) \exp \left(-\frac{x}{1000U} \right) \right]$$
$$\Delta \sigma_{Z1}^2 = 6.67 \times 10^2 \left[1 - \left(1 + \frac{x}{100U} \right) \exp \left(-\frac{x}{100U} \right) \right]$$

$$\Delta \sigma_{y2}^2 = 5.24 \times 10^{-2} U^2 A \left[1 - \left(1 + \frac{x}{10\sqrt{A}} \right) \exp\left(-\frac{x}{10\sqrt{A}} \right) \right]$$
$$\Delta \sigma_{z2}^2 = 1.17 \times 10^{-2} U^2 A \left[1 - \left(1 + \frac{x}{10\sqrt{A}} \right) \exp\left(-\frac{x}{10\sqrt{A}} \right) \right]$$



Meteorological Data – Penn State

- Data is treated as the requirements of RG 1.145
- Hourly Weather data (wind speed/direction/stability)
- RG 1.23 requires at least 1 to 3 years of onsite meteorological data





95th percentile χ/Q







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nnState

Е

Cavity and Wake Zones for the Near-Field Analysis



- Tall buildings and other structures will disturb the flow of air. Creating three main zones of flow:
 - Displacement Zone
 - Wake Zone
 - Cavity Zone
- If the release point is at least 2.5 times the height of the building, the plume will penetrate into the displacement zone and may be considered as coming from an elevated source.

$$\frac{\chi}{Q} = \frac{1}{\pi \sigma_y \sigma_z U} \exp\left[-0.5 \left(\frac{y}{\sigma_y}\right)^2\right] \exp\left[-0.5 \left(\frac{h_e - h_i}{\sigma_z}\right)^2\right]$$
$$\sum_{y=1}^{\infty} \sum_{y=1}^{\infty} \left(\sigma_y^2 + \Delta \sigma_{y1}^2 + \Delta \sigma_{y2}^2\right)^{1/2}$$
$$\sum_{z=1}^{\infty} \left(\sigma_z^2 + \Delta \sigma_{z1}^2 + \Delta \sigma_{z2}^2\right)^{1/2}$$



Existing CFD/Experiment Benchmark





Top and side views of particle concentration found using RLZ k-e by Nimmatoori et al. for high (right) and low (left) wind speeds [78].

Works to be compared to Gaussian ATD models: Woodward et al. [71] (a); Keshavarzian et al. (2021) [70] (b); Keshavarzian et al. (2022) [66] (c); Chavez et al. [72] (d); and Tominaga and Stathopoulos (2018) [74] (e).



ARCON Code Comparison with Tominaga 2018



ARCON Code Comparison with Chavez et al. 2011



Simulation Setup to Reproduce Tominaga 2018



- Geometric parameters are linearly scaled
- Non-geometric parameters are scaled based on ¹/₄ power law
- C_0 is maintained (312.5 ppm)

Parameter	Experiment	1:100
<i>H</i> [m]	0.2	20
<i>U_H</i> [m/s]	0.4	1.26
<i>W_s</i> [m/s]	0.2	0.63
<i>C</i> ₀ [ppm]	312.5	312.5





Preliminary Results - Near-Field Velocity Distribution



Preliminary Results - Near-Field Velocity Distribution







Penn State Site-Specific Study Candidates

Community Engagement Plan

Sociotechnical Readiness Level (SRL)

Sociotechnical Readiness Level (SRL) Framework

Increasing Maturity

High

SRL 9: Actual system operated over the full range of sociotechnical condi- tions.	Status Quo Before the Accident SRL 9 Pre-2011
SRL 8: Actual system completed and qualified through sociotechnical test and demonstration.	Japan: Earthquake and Initial Response SRL 6 Mar 2011
SRL 7: Full-scale (prototypical) system demonstrated in a relevant so- ciotechnical environment.	Mar-2011 March 14, 2011 Germany's Reaction SRL 6
SRL 6: Engineering, pilot-scale (prototypical) system validation in a so- ciotechnical environment.	June 30, 2011 Germany's Phase-Out Legislation SRL 5/4 Jun 2011
SRL 5: Laboratory-scale system validation in a representative sociotechnical environment.	Jul 2011Jul 2011 U.S. NRC Task Force Report SRL 8
SRL 4: Component and/or system validation in a representative sociotech- nical environment.	August 6, 2011 Amendment of the German Atomic Energy Act SRL 3 Aug 2011
SRL 3 : Analytical and experimental critical function and/or characteristic studies of the social and environmental impacts of the technology.	Mar-2012 March 9, 2012 NRC Mandates New Safety Measures SRL 8
SRL 2: Technology concept and/or application are formulated in a socially engaged way.	April 19, 2012 Permanent Shutdown of Fukushima Reactors SRL 3 Apr 2012
SRL 1 : Basic principles observed and reported. Societal implications and applications of the new scientific and technological principles are explored.	

Verma and Allen (2024) proposes the SRL framework The current team implemented and tested SRL.

SRL Time Trends

- Scraped news releases (1990–2024) from multiple news agencies.
- Extracted articles containing keywords: "nuclear energy," "nuclear reactor" "nuclear power".
- Significant sentiment drops in 2012 (Fukushima aftermath) and 2022 (Russia Ukraine war).
- Positive sentiment recovery post-2015 aligns with increasing clean energy investments.

Word Cloud Analysis

- Word cloud analysis highlights positive and negative sentiment words associated with high (SRL > 7) and low (SRL < 4) societal readiness levels.
- Positive SRL (green): "new," "clean," "economic," "important" → Reflects progress and acceptance.
- Negative SRL (red): "military," "past," "failed," "devastating" → Suggests fear and resistance.

Immersive Experience (XR) Development for Community Engagement

Regulatory Engagement Plan

Westinghouse Regulatory Engagement Plan Submitted to NRC in 2021

NEI 18-04 for Licensing Basis Event Identification

Penn State FRONTIER 10 CFR Part 50 Two-part Licensing Approach

RSAR and ER (under 10 CFR Part 51) Required for the Construction Permit Application.

FRONTIER designated as a Research Reactor The Construction Permit Application under 10 CFR Part 50.23

The EPZ Size in this NEUP Project

To Evaluate the EPZ Size Down from the 400-meter Radius to the Site Boundary

o Evaluate the EPZ Size Down from the 400-meter Radius to the Site Boundary

Types and Frequency of the Communications between the FRONTIER team and the NRC staff

Routine Project Management Discussions

To ensure timely communication of issues and consistent understanding of the status of issue resolution. These interactions may also take the form of simple phone calls and email communications.

Drop-In Meetings

For the General Exchange of Information on Non-Technical Topics such as Planning for Future Interactions and Scheduling Discussions Not directly Related to Regulatory Action or Decisions.

Technical Discussions

To provide the opportunity for direct engagement with NRC staff reviewers in specific subject areas and To include reviewers and management.

An initial Drop-In meeting held in late 2024

The FRONTIER team officially engaged the NRC by opening a docket for the project in February 2025

Conclusions

- Recommendations on Atmospheric Dispersion model
 - Atmospheric Dispersion code vs Existing CFD simulations performed
 - Extended CFD simulation is being performed
 - Model Improvement
 - Penn State Site-Specific Study will be performed this year
- Community Engagement Plan
 - SRL study
 - Immersive Experience
 - FAQs and Website being developed
- Regulatory Engagement Plan
 - Regulatory Engagement has been initiated
 - This project will support the Regulatory Engagement Plan and summarize the effort to generate a guideline for other microreactor developers

• Conference Papers

- 1. Erik Hisahara, Christopher Balbier, Saya Lee, Rohan Biwalkar, Sola Talabi, Preliminary Parametric Study of Microreactor EPZ, American Nuclear Society Transactions, Vol. 130, No. 1, 2024, 857-860
- 2. Erik Hisahara, Christopher Balbier, Saya Lee, Rohan Biwalkar, Sola Talabi, Preliminary Study of Microreactor Emergency Planning Zone. 14th International Topical Meeting on Nuclear Reactor Thermal-Hydraulics, Operation and Safety (NUTHOS-14), August 24 29, 2024, Vancouver, Canada.

• Talks

- 1. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, Research Seminar at Penn State Scranton, March 19, 2025, The Pennsylvania State University, State College, PA
- 2. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, Seminar at the Department of Finance, Smeal College of Business, February 26, 2025, The Pennsylvania State University, State College, PA
- 3. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, 2025 Annual Meeting of Southwestern Finance Association, February 12-14, 2025, San Antonio, Texas.
- 4. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, BSB Colloquium, February 11, 2025, The Pennsylvania State University. **Online Workshop.**
- 5. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, 2025 Data Summit, Office of Planning, Assessment and Institutional Research, February 12, 2025, The Pennsylvania State University. **Online Workshop.**
- 6. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, 2025 3rd Contemporary Issues in Financial Markets and Banking, January 13-14, 2025, Nottingham-Trent University, Nottingham United Kingdom. Online Conference.
- 7. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness, 2024 Massey Sustainable Finance Conference (MSFC2024), December 2-3, 2024, Auckland, New Zealand.
- 8. Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, "Sustainable Energy Marketplace and Societal Readiness", *Seminar* at the Ken and Mary Lindquist Department of Nuclear Engineering, The Pennsylvania State University, October 31, 2024, State College, PA.

• Posters

1. Jainil Kakka, Hyungjin Kim, Saya Lee, Nonna Sorokina, Darshana Sunoj, Praneeth Sunkavalli "Sustainable Energy Marketplace and Societal Readiness", 2024 ICDS Symposium, The Pennsylvania State University, October 23, 2024, State College, PA.

